

CURRICULUM VITAE

Jeong-Bon KIM
(As of July 2017)

Mailing Address

Department of Accountancy
College of Business
City University of Hong Kong
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EDUCATION

Temple University: Ph.D. in Business Administration with Major in Accounting

Seoul National University: MSc in Accounting

Seoul National University: BBA

WORK EXPERIENCE

Full-time Appointments and Courses Taught

City University of Hong Kong (CityU)

- *Chair Professor* of Accountancy and *Acting Head* of the Department of Accountancy (July 2017 – Now), The Department of Accountancy, College of Business.

University of Waterloo, Waterloo, Canada

- *Professor (tenured) and J. Page R. Wadsworth Endowed Chair in Accounting and Finance*, School of Accounting and Finance, (Fall 2015 to June 2017; currently under no-pay leave).
- *Director for Ph.D. Program in Accounting and Finance* (July 2015 – June 2017).
- *Approved Doctoral Dissertation Supervisor*

Course designed and taught

- *ACC784 Special Topics in Accounting (Contemporary Issues in Accounting and Financial Economics)* (Winter 2016)
- *ACC701 Financial Accounting Research Seminar (Winter 2017)*

City University of Hong Kong (CityU)

- *Chair Professor* of Accountancy (substantiated) and *Head* of the Department of Accountancy (June 2009 – Summer 2015), The Department of Accountancy, College of Business:.

Course taught:

- *AC8900 (Seminar in Financial Accounting Issues)* (2009-2014).

Concordia University, Montreal, Canada

- *Canada Research Chair in Corporate Governance and Financial Reporting (Tier 1: 7-year term with the Government of Canada funding via the Canada Research Chair program) and Professor of Accountancy (tenured) (Fall 2006-Winter 2009); Acting Chair of the Department of Accountancy (January-April 2007), John Molson School of Business.*

Course taught:

- *ADMI G860 (Ph.D. Seminar in Capital Markets and Financing Reporting) (2006-2009)*

The Hong Kong Polytechnic University (PolyU)

- *Associate Dean for Postgraduate Programmes and Research, The Faculty of Business (Winter 2003-Winter 2006).*
- *Head of Accountancy Department (July 1999-May 2000); Professor (since September 1998); Acting Head (June 2002-August 2004; concurrently serving as Associate Dean from December 2002-August 2004); Associate Professor (November 1995-August 1998).*

Courses taught:

- *Capital Market Research in Accounting for Ph.D. students;*
- *Contemporary Issues in Capital Markets and Corporate Disclosures for DBA students;*
- *Contemporary Issues in Business Research for Ph.D. and M.Phil. students;*
- *Contemporary Issue in Management Accounting for M.Sc. students;*
- *Research Seminar in Financial Reporting and Capital Markets for M.Sc. and Ph.D. students;*
- *Corporate Accounting for PgDCA students;*
- *Managerial Accounting for BAAC students.*

Concordia University (Montreal, Canada), Department of Accountancy:

Associate Professor (June 1993-May 1999 with tenure granted in June 1994, on leave for June 1989-May 1990 and June 1995-May 1999), and Assistant Professor (June 1988-May 1993).

Courses taught:

- *Managerial Accounting and Cost Accounting for both undergraduate and MBA and International Aviation MBA students (ACCO 218, ACCO 303, MBA 628, AMBA 621);*
- *Business Research Methods (BUSR 673) for MBA students who major in accounting and finance with a thesis option;*
- *Ph.D. Seminar in Financial Reporting and Capital Markets (ADMI G860) for advanced-level doctoral students who were enrolled in Concordia U, McGill U, HEC and UQAM doctoral programmes in accounting.*

Syracuse University (Syracuse, New York), Department of Accounting, School of Management:
Assistant Professor (tenure track: September 1985-August 1988)

Courses taught:

- *Managerial Use of Accounting Information* for MBA students;
- *Cost Accounting* for undergraduate accounting-major students.

Honorary, Visiting and/or Adjunct Appointments

Sun Yat-Sen University (Guangzhou, China), SYSU Business School

- *Distinguished Honorary Professor* (October 1, 2016 to September 30, 2019)

The Open University of Hong Kong (Hong Kong, China), Institute for International Business and Governance, Lee Shau Kee School of Business and Administration

- *Distinguished Professor* for the three year period of January 2016-December 2018

Fudan University (Shanghai, China), School of Management

- *Honored Professor* (2011-now).

University of Technology at Sydney, Department of Accountancy

- *Short-term Visiting Scholar* (2013, Summer)

University of British Columbia, Accounting Division, Sauder School of Business

- *Summer Visiting Scholar* (Summer 2002, 2003, and 2004)

Curtin University of Technology, Curtin Business School, School of Accounting (Perth, Western Australia)

- *Member of External Advisory Committee* (September 2000-2005);
- *Adjunct Professor* (January 1999-2005);
- *Visiting Research Fellow* (Summer 1998)

Tianjin University (Tianjin, China), *Visiting Foreign Scholar* (Summer 1994)

- Conducted a Seminar on *Accounting Information for Emerging Capital Markets* for graduate students and interested faculty members as part of Canadian International Development Agency (CIDA) China Business Education Support Program.

Seoul National University (Seoul, Korea), Taught the following courses on an adjunct basis (Fall 1989-Spring 1990 while on leave from Concordia University)

Courses taught:

- *Graduate Seminar in Market-based Accounting Research* for Ph.D. and advanced MSc. students;
- *Graduate Seminars in Financial Accounting Research* for Ph.D. and advanced MSc. students;
- *Graduate seminar in Cost Accounting Research* for Ph.D. and advanced MSc. students.

POSTDOCTORAL AND GRADUATE THESIS SUPERVISION

Chief Supervisor for Post-doctoral Fellows (PDF)

- Dr. Xiaodong Xu (Ph.D. from Tsinghua U; Worked at PolyU as PDF during 2003-2005; currently Professor at Shanghai Jiaotong U)
- Dr. Zhenbin Liu (Ph.D. from Nanyang Technological University, Singapore; Worked at CityU as PDF during 2012 -2013; currently am Assistant Professor, Chinese University of Hong Kong at the Shezhen Campus)
- Dr. Chiu Tzu Ting (Ph.D. from National Taiwan University, Worked at CityU as PDF during 2013-2014. Currently Assistant Professor at Norwegian School of Economics and Business Administration, Bergen, Norway)

Doctoral Thesis Supervision

- **Ph.D. Thesis Chief Supervisor:**
 - Dr. Xijia Su (Concordia U; 1996 (*year of graduation*); Professor at China-European International Business School or CEIBS);
 - Dr. Sandra Ho (PolyU; 1999; Assistant Professor at PolyU);
 - Dr. Jenny Jia (PolyU; 2003; Leading Research Analysts at Minsheng Bank, Beijing);
 - Dr. Annie Qiu (PolyU; 2004; CFO at Bank of China International, Beijing);
 - Dr. Byron Song (PolyU; 2007; Associate Professor at Hong Kong Baptist U);
 - Dr. Haina Shi (PolyU; 2008; Associate Professor at Fudan U, Shanghai);
 - Dr. Xu Shen (CityU; 2014; Assistant Professor at Huazhong U of Science & Technology, Wuhan);
 - Dr. Ke Wang (City U; 2015; Assistant Professor at U of Alberta);
 - Dr. Yanan Zhang (CityU; 2016; Assistant Professor at Central University of Finance & Economics).
 - Mr. Si Yi (CityU-Xian Jiaotong U Joint Ph.D. program).
- **Ph.D. Thesis Co-supervisor:**
 - Dr. Yaqi N. Shi (Concordia U; 2007; Assistant Professor at U of Western Ontario);
 - Dr. Wenxia Ge (McGill U; 2009; Associated Professor (tenured) at University of Manitoba);
 - Dr. Cathy Lei Pang (PolyU; 2011; Research Assistant Professor at Hong Kong Baptist U);
- **Ph.D. Committee** (coursework and/or thesis):
 - Dr. Gary Spraakman (Concordia U; 1996; Professor and Associate Dean at York University);
 - Dr. Jane Craighead (McGill University; 2000; Compensation Consulting Co. in Montreal).
 - Dr. Tiemei Li (Concordia U; 2010; Assistant Professor at University of Ottawa)
 - Dr. Haiping Wang (Concordia U, 2011; Assistant Professor at York University)
 - Dr. Jenny Zhou (PolyU, 2005; Assistant Professor at Shanghai U of Finance & Economics)
- **DBA Chief Supervisor:**
 - Four DBA students at PolyU: Dr. James Fok (2007); Dr. Alan Ho (2008); Dr. Lawrence Lo (2008); Dr. Idy Lam (2008).
 - Two DBA student at CityU: Dr. Harry Kang (2014) and Tony Tang (2015)

- **External Ph.D. Thesis Examiner:**
 - 3 Ph.D. theses for Chinese University of Hong Kong (Dr. Tommy Lau 1999; Dr. Wu Dong-Hui 2003; Dr. Gladie Lui 2003)
 - 1 Ph.D. thesis for Lingnan University (Dr. Agnes Lo 2004);
 - 2 Ph.D. thesis for Hong Kong Baptist University (Dr Li Yuansha 2008; Dr. Hu Jinshuai, 2011);
 - 1 Ph.D. student for PolyU (Dr. Kevin Zhu Xindong 2009);
 - 1 Ph.D. student at The Hong Kong University of Science & Technology (Dr. Yu Yangxin).

Master Thesis Supervision

- **Chief supervisor** for 2 M.Phil. and 9 M.Sc. theses (PolyU).
- **Chairman of the Board of Examination** for 4 Ph.D., 3 DBA, and 1 M.Phil. thesis (PolyU).
- **External Examiner** for 2 M.Phil. theses (Chinese U of Hong Kong) and 1 M.Phil. thesis (City U of Hong Kong).
- **Supervisor** for 6 MPA and 3 PgDPA graduation research projects (PolyU).
- **Supervisor** for 2 AMBA theses (Concordia U).

EDITORIAL AND OTHER ACADEMIC ACTIVITIES

Editorial Duties

- Editor: *Asia-Pacific Journal of Accounting and Economics (APJAE)* (2012-now).
- Editor in Chief: *China Journal of Accounting Research (CJAR)*: 2009-2015).
- Associate Editor: *Journal of Contemporary Accounting and Economics (JCAE)*: 2005-now).
- Associate Editor: *China Journal of Accounting Research (CJAR)*: 2008-2009).
- Ad Hoc Associate Editor: *Auditing: Journal of Practice and Theory (AJPT)*: 2007-2008)
- Ad Hoc Associate Editor: *Contemporary Accounting Research (CAR)*: 2008)
- Joint Editor: *Asian Review of Accounting (ARA)*: 1999-2001).
- Chief Editor: *China Accounting and Finance Review (CAFR)* (Summer 1999-Summer 2000).

Member of Editorial Board/Editorial Advisory Board

- *Contemporary Accounting Research (CAR)*: 2007-2010; 2017-now)
- *Canadian Journal of Administrative Science (CJAS)*: 2012-now)
- *Journal of International Accounting Research (JIAR)*: 2011-now).
- *Auditing: Journal of Practice and Theory (AJPT)*: 2006-2008; 2011-now).
- *Asian Review of Accounting* (1998-2001).
- *Malaysian Accounting Review* (2005-2009).
- *Accounting Education: An International Journal* (2011-now)

Ad Hoc Referee:

Served as an *External Referee* for the papers submitted for the following journals and conferences:

The Accounting Review;
Journal of Accounting and Economics;
Review of Accounting Studies;
Contemporary Accounting Research;
Management Science
Journal of International Business Studies
Accounting Horizon
European Accounting Review;
European Financial Management
Journal of International Accounting Research;
Journal of Accounting and Public Policy;
Journal of Contemporary Accounting and Economics;
Auditing: Journal of Practice and Theory;
Journal of Banking & Finance;
Journal of Corporate Finance;
Journal of Business Research
Journal of Economics and Business;
Journal of Comparative Economics;
International Business Review
Corporate Governance: International Review
Journal of Accounting and Public Policy;
Review of Quantitative finance & Accounting
Management Accounting Research;
China Accounting and Finance Review;
The Journal of Accounting & Business Research;
Asian Review of Accounting;
Asia-Pacific Journal of Accounting
Asia-Pacific Journal of Accounting & Economics;
Canadian Journal of Administrative Sciences;
International Journal of Accounting;
International Review of Finance
Contemporary Accounting Research Conference
2008 AJPT and JCAE Joint Symposium
2009 CAR and JCAE Joint Symposium
The Annual Conferences of Canadian Academic Accounting Association (CAAA);
2015 MIT Asia Accounting Conference
The Annual Meetings of American Accounting Association (AAA);
The Annual Conferences of Accounting Academics by Hong Kong society of Accountants;
The China Accounting and Finance Review Conferences;
The Asian Pacific Journal of Accounting and Economics (APJAE) Symposiums;
The JCAE Symposium;
The 6th Conference on Pacific-Basin Business Economics and Finance (1998).

Invited Keynote Speeches and Others

- Invited as a *Keynote Speaker* at 2016 Annual Conference of *China Journal of Accounting Research* (CJAR) (celebrating 10th Anniversary of CJAR), held at Sun Yat-sen University, Guangzhou, China, May 19-20, 2015. Delivered the keynote lecture on “The Pathogen, Scapegoat, or a Miracle Drug? Short Selling and Stock Price Crash Risk” for about 100 participants.
- Invited as a Distinguished Speaker at the Institute of International Business & Governance, Lee Sau Kee School of Business Administration, Open University of Hong Kong (May 27, 2016). Delivered a presentation on “Humble Accounting and Stock Price Crash Risk: New Paradigm, Methods, and Suggestions for Future Research.”
- Invited as a Distinguished Speaker to present a paper titled “Fraud Discovery in the Credit Default Swaps Market” at the Faculty research Workshop, SYSU Business School, Sun Yat-sen University, Guangzhou, China (22 November 2016).
- Invited to present a paper titled “Shorting Activity, Return Predictability, and the Information Environment: Evidence from the Mandatory Adoption of International Financial Reporting Standards” at the Faculty Research Workshops at Fudan University (May 2017) and City University of Hong Kong (December 6, 2016).
- Invited as a *Keynote Speaker* at 2015 Doctoral Consortium of the Korean Accounting Association, CheonAn, Korea. Delivered the keynote lecture on “Humble Accounting and Stock Price Crash Risk: New Paradigm, Methods, and Suggestions for Future Research” for about 100 Ph.D. students from Korea, Japan, and Taiwan.
- Invited as a *Keynote Speaker* at 5th Biennial Conference of the World Accounting Frontiers Series (WAFS) held at the new campus of the University of Macau on May 27-28, 2015. Delivered the keynote lecture on “Fraud Discovery in the Credit Default Swaps Market.”
- Invited as one of two *Keynote Presenters* for the Special Paper Development Workshop for emerging scholars, on the subject of “How to Identify an Appropriate Research Method and Increase the Rigor of Your Analysis” The workshop was hosted by the International Association of Accounting Education and Research (IAAER) during the IAAER Annual Conference and the World Congress (November 15, 2014, Florence. Italy).
- Invited to speak as a speaker for the 30th anniversary of the School of Business, Xian Jiaotong University on “Humble Accounting, Institutional Infrastructure, and Stock Price Crash Risk” on July 10-13, 2014.
- Invited to speak as an external presenter at two research workshops at University of Technology at Sydney (March 2014).
- Invited to speak as one of two *Keynote Speakers* for 2013 Renmin University Business School Accounting Research Symposium, Renmin University, Beijing, China on “Humble Accounting, Institutional Infrastructure, and Stock Price Crash Risk” on December 10, 2013.

- Invited to speak on CityU's *Presidential Distinguished Lecture Series* and delivered a lecture on "Humble Accounting, Institutional Infrastructure, and Stock Price Crash Risk" on April 23, 2013.
- Invited as a *Keynote Speaker* and delivered a lecture on Internal Control Weakness and Stock Price Crash Risk at the 2013 World Accounting Research Forum, University of Macao (April 26, 2013)
- Invited as a Doctoral Colloquium Research Lecturer for 2012 Asian Academic Accounting Association (AAAA) Annual Conference, Kyoto, Japan (November 2012) on "Accounting, Institutions, and Stock Price Crash Risk."
- Invited as the *Keynote Speaker* for 2012 *China Journal of Accounting Research (CJAR)* Symposium on "Accounting, Institutions, and Stock Price Risk" hosted by Sun Yat-Sen University, Guangzhou, China (November 2012).
- Invited as a senior scholar mentor for two papers in New Scholar Session of 2012 Annual Meeting of American Accounting Association, Washington, D.C. (August 5-8, 2012)." The two papers are: (1) "The Impact of IFRS on Accrual Reliability" by C. Lai, Y. Li, and S. Taylor; and (2) "Venture Capital, Corporate Governance, and Financial Stability of IPO Firms" by J.-F. Chen, W. Liao, and C.-C. Lu.
- Invited and delivered a speech titled "Issues and Opportunities in China and Asia-Pacific Accounting Research" as one of four *Panel Speakers* at the Special Section on the China/Asia-Pacific Accounting Research: How to Start at *The 2010 Annual Meeting of AAA*, San Francisco (August 1-4, 2010).
- Invited and delivered a speech titled "Developing Doctoral Program: HK Experience" as one of three *Panel Speakers* in the panel titled Developing Doctoral Education in the MENA Region at the 2010 EFMD (European Federation of Management Education) Conference in the MENA Region, Dubai, UAE, and participated as a panel 28-30, 2010.
- Invited as a *Keynote Speaker* and delivered a keynote speech on "The Impact of Research on Teaching in Asia" at the 7th Northeast China Business School Deans Association Forum (July 2005) hosted by Jilin University School of Business, Jilin University, Changchun, China.
- Invited as a *Keynote Speaker* and delivered a keynote speech on "The Impact of Research on Teaching and Faculty Evaluation" at 2002 Zhejiang University School of Management 25th Anniversary Conference, Zhejiang University, Hangzhou, China.
- Invited as one of three *Panel Speakers* for the Panel on "The Impact of Research on Teaching" at *the 2001 Annual Conference of CAAA*, Calgary, Canada.
- Invited as a *Panel Speaker* for the Panel for New Faculty and Doctoral Students at *the 1996 Annual Conference of Canadian Academic Accounting Association (CAAA)*, Montreal, Quebec, Canada.
- Invited as a *Keynote Speaker* in the Plenary Session of *the 1993 Annual Conference of CAAA*, Ottawa, Canada to present/discuss about issues related to Accounting for Environments.

External Referees/Assessors for Promotion and Tenure Decisions (Last 10 years)

- Invited and served by External Assessor for candidates for promotion to Chair Professor (University of Macao 2015), promotion to Full Professor (Chinese U of Hong Kong 2015) and for tenured Associate Professor (Michigan State University (2017)).
- Invited and served as an external assessor for research proposals submitted for the CERG grants in Hong Kong (2007, 2008) and the Social Sciences and Humanities Research Council of Canada (SSHRCC) grants in Canada (2008, 2009) and General Research Fund (GRF) grant in Hong Kong (2009 to 2014).
- Invited by the Canada Research Chair Program of SSHRCC to serve as an External Assessor for a candidate for Canada Research Chair (Tier II) (2006).
- Invited and served as an external assessor for a candidate for Canada Research Chair (Tier I) at Rotman School of Management, University of Toronto.
- Invited and served as an external assessor for several research proposals submitted for Strategic Research Grant at City University of Hong Kong (CityU)'s (various years before 2009) and The Open University of Hong Kong (2017).
- Invited and served as an external referee/assessor for dossiers submitted for promotion to full professorship, associate professor, tenure decision and application for new faculty positions for the following institutions:
 - City University of Hong Kong (various times before 2009)
 - Iowa State University (2016)
 - Michigan State University (2017)
 - McGill University (2010)
 - Queen's University (2016)
 - University of Toronto (2010)
 - Seoul National University (2011)
 - Shanghai Jiatong University (2012)
 - University of Missouri at Columbia (2013, 2015)
 - Singapore Management University (2016, 2014, 2013, 2010, 2006)
 - University of Massachusetts at Boston (2013)
 - University of Massachusetts at Lowell (2013)
 - People's University of China (2013)
 - University of Macao (2012, 2015)
 - Villanova University (2015)
 - University of Southern Illinois (2015)
 - Hong Kong Baptist University (2015)
 - Chinese University of Hong Kong (2016)

Conference Organizing Committees and Others

- Hosted the 2014 APJAE Symposium on Environmental, Social and Governance Issues in the Asia Pacific Region (Dec 4-5, 2014) as Editor of the *Asian-Pacific Journal of Accounting and Economics (APJAE)* and the Head of the Department, City University of Hong Kong: A special issue of APJAE for the papers presented at the conference published.
- Served as Members of the Scientific Committee for Annual Conferences of Canadian Academic Accounting Association (CAAA) (many years).
- Served as Chair of the Organizing Committee for *Risk Management Forum for Globally-Interconnected Enterprises* held on March 15, 2008, Hong Kong.
- Served as a member of the Organizing Committee for the *Journal of Contemporary Accounting and Economics (JCAE)* Symposia (2006, 2007) and the Joint Symposium of JCAE and AJPT (2008).
- Served as a member of the Scientific Committee for 2007, 2008, 2009, 2012 *Annual Conferences for Canadian Academic Accounting Association (CAAA)*.
- Co-hosted the 3rd *China Accounting and Finance Research Symposium*, Hong Kong (June 12-15, 2004) as Acting Head of the School of Accounting and Finance, PolyU, together with the Department of Accounting, Tsinghua University.
- Served as a member of the Organizing Committee for the 2003, 2004, and 2005 *APJAE* Symposium and the 2004 *China Accounting and Finance Research (CAFR)* Symposium.
- Served as a member of the Conference Organising Committee of the 7th Annual Conference of *Asia Pacific Finance Association* (Shanghai, July 2000).
- Served as *Programme Chair* for the 2nd *Contemporary Accounting Research Methodology Intensive Programme (CARMIP)* jointly organized by PolyU and the Shanghai University of Finance and Economics (Shanghai, January 3-6, 1999) and for the 3rd CARMIP jointly organized by PolyU and Peking University (Beijing, July 3-8, 2000).
- Served as an *External Referee* for selecting the Best Paper Award for *the Annual Conference of Accounting Academics* (1998, 2000), The Hong Society of Accountants (HKSA).

Other External Activities

- Invited and served as a member of the *Business and Economics Panel of 2014 RAE* (Research Assessment Exercise), University Grant Council of the Government of the Hong Kong SAR Government.
- Invited and served by External Assessor for Australian Research Council of the Australian Government for 2015-2016.
- Elected and Served as Vice-President and Member of the Executive Committee for International Association of Accounting Education and Research (IAAER) (2009-2010; 2012-2015).

- Appointed and served as *Member* of the Advisory Committee on Human Resources Development in the Financial Services Sector (known as the *FinMan* Committee), Financial Services Branch, Financial Services and The Treasury Bureau of the Hong Kong SAR Government (2011–2013; 2005 – 2006). Elected and served as a *Founding Member* of the Council of the Hong Kong Academic Accounting Association (HKAAA) for the 1997-1999 period.
- Served as a *Regular Member* of Accountancy Accreditation Board (AAB), Research Board (RB) and an Ad Hoc Committee for Accounting Case Competition of the Hong Kong Society of Accountants (HKSA, now The Hong Kong Institute of CPA) (July 1999-February 2001).

RESEARCH AND SCHOLARSHIP

I. Refereed Journal Articles

Selected Papers Published in Premier Journals in the Areas of Accounting, Finance, and International Business (Past 15 Years)

- “China’s Closed Pyramidal Managerial Labor Market and the Stock Price Crash Risk.” *The Accounting Review* (forthcoming: co-authored with D. Chen, O. Z. Li, and S. Liang).
- “Go Before the Whistle Blows: An Empirical Analysis of Director Turnover and Financial Fraud.” *Review of Accounting Studies* (2017) 22 (1): 320-360 (co-authored with Y. Gao, Tsang, and H. Wu).
- “Price Discovery in the CDS Market: The Informational Role of Equity Short Interest.” *Review of Accounting Studies* (2016) 21 (4): 1116-1148 (co-authored with P. Griffin and H. Hong).
- “CEO Over-Confidence and Stock Price Crash Risk.” Forthcoming at *Contemporary Accounting Research* (2016) 33 (4): 1720-1749 (co-authored with Z. Wang and L. Zhang).
- “Financial Statement Comparability and Expected Crash Risk.” *Journal of Accounting and Economics* (2016) 61: 294-31 (co-authored with L. Li, L. Y. Li, and Y. Yu).
- “Accounting Conservatism and Stock Price Crash Risk: Firm-level Evidence.” *Contemporary Accounting Research* (2016) 33 (1): 412-441 (Presented at the 2012 CAR Conference, Ottawa, November 2012) (co-authored with L. Zhang).
- “Financial Reporting Opacity and Expected Crash Risk: Evidence from Option Implied Volatility Smirks.” *Contemporary Accounting Research* (2014) 31 (3): 851-875 (co-authored with L. Zhang).
- “The Impact of Mandatory IFRS Adoption on Audit Fee: Theory and Evidence.” *The Accounting Review* (2012) 87 (6): 2061-2094 (co-authored with X. Liu and L. Zheng).

- "IFRS Reporting, Firm-Specific Information Flows, and Institutional Environment: International Evidence." *Review of Accounting Studies* (2012) 17 (3): 475-517 (the lead article of the issue) (co-authored with H. Shi).
- "Do Countries Matter for Voluntary Disclosure? Evidence from Cross-listed Firms in the U.S." *Journal of International Business Studies* (2012) 43 (2): 143-165 (Y. Shi, and M. Magnan).
- "The Voluntary Adoption of International Financial Reporting Standards and Loan Contracting around the World." *Review of Accounting Studies* (2011) 46 (4): 779-811 (co-authored with J. Tsui, and C. H. Yi).
- "Internal Control Weakness and Bank Loan Contracting: Evidence from SOX Section 404 Disclosures." *The Accounting Review* (2011) 86 (4): 1157-1188 (co-authored with B. Y. Song, and L. Zhang). (One of two articles that appeared under the special Forum on Internal Control Reporting and Corporate Debt with the senior editor's editorial introduction: *Abstracted in the Harvard Law School Forum on Corporate Governance and Financial Regulation*.)
- "CEO versus CFO: Equity Incentives and Crashes." *Journal of Financial Economics* (2011) 101 (3): 713-730 (co-authored with Y. Li, and L. Zhang). (*Abstracted in the Harvard Law School Forum on Corporate Governance and Financial Regulation*.)
- "Corporate Tax Avoidance and Stock Price Crash Risk: Firm-level analysis." *Journal of Financial Economics* (2011) 100 (3): 639-662 (co-authored with Y. Li, and L. Zhang). (*Abstracted in the Harvard Law School Forum on Corporate Governance and Financial Regulation*.)
- "Voluntary Audit and the Cost of Debt Capital for Privately Held Firms: Korean Evidence." *Contemporary Accounting Research* (2011) 26 (2): 585-615 (co-authored with D. A. Simunic, M. T. Stein, and C. H. Yi).
- "Ownership Concentration, Foreign Shareholding, Audit Quality and Stock Price Synchronicity." *Journal of Financial Economics* (2010) 95 (3): 425-442 (co-authored with F. Gul and A. Qiu).
- "Cross-listing Audit Fee Premiums: Theory and Evidence." *The Accounting Review* (2009) 84: 1429-1463 (co-authored with J.H. Choi, X. Liu, and D. Simunic).
- "Audit Pricing, Legal Liability Regimes, and Big 4 Premiums: Theory and Cross-country Evidence." *Contemporary Accounting Research* (2008) 25(1): 55-99 (co-authored with J.H. Choi, X. Liu and D. Simunic).
- "Ownership Structure, Business Group Affiliation, Listing Status, and Earnings Management: Evidence from Korea." *Contemporary Accounting Research* (2006) 23 (2): 427-464 (co-authored with C.H. Yi).
- "Auditor Conservatism, Asymmetric Monitoring, and Earnings Management." *Contemporary Accounting Research* (2003) 23: 323-359 (co-authored with R. Chung and M. Firth).

All (Peer-reviewed) Publications in Chronological Order

Papers conditionally accepted or forthcoming as of July 2017

1. H. Hong, **J.-B. Kim**, and M. Welker. "Divergence of Cash Flow and Voting Rights, Opacity, and Stock Price Crash Risk: International Evidence." Conditionally accepted for publication in *Journal of Accounting Research*.
2. **J.-B. Kim**, B. Y. Song, and T. Stratopoulos. "Does Information Technology Reputation Affect Bank Loan Terms?" Conditionally accepted for publication in *The Accounting Review* (forthcoming).
3. T.-T. Chiu, Y. Guan, and **J.-B. Kim**. "Effect of Risk Factor Disclosures on the Pricing of Credit Default Swaps." Conditionally accepted for publication in *Contemporary Accounting Research*.
4. D. Chen, **J.-B. Kim**, O.Z. Li, and S. Liang. "China's Closed Pyramidal Managerial Labor Market and the Stock Price Crash Risk." *The Accounting Review* (forthcoming).

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5. **J.-B. Kim**, L. Li, and H. Zhang (2017), "Analyst Forecast Accuracy and Media Independence." *Financial Management* (in press).
6. **J.-B. Kim**, I. Yeung, and J. Zhou, (2017), "Stock Price Crash Risk and Internal Control Weakness: Presence versus Disclosure Effect." *Accounting and Finance* (in press).
7. G. Chen, **J.-B. Kim**, J.-H. Lim, and J. Zhou (2017), "XBRL Adoption and Bank Loan Contracting." *Journal of Information System* (in press).
8. Y. Gao, **J.-B. Kim**, D. Tsang, H. Wu (2017). "Go Before the Whistle Blows: An Empirical Analysis of Director Turnover and Financial Fraud." *Review of Accounting Studies* 22 (1): 320-360.
9. **J.-B. Kim**, B. Song, and Z. Wang (2017), "Special Purpose Entities and Bank Loan Contracting." *Journal of Banking and Finance* 74: 133-152.
10. C. Chen, **J.-B. Kim**, and L. Yao (2017), "Earnings Smoothing: Does It Exacerbate or Constrain Stock Price Crash Risk?" *Journal of Corporate Finance* 42: 36-54.

2016

11. P. Griffin, H. Hong, and **J.-B. Kim** (2016) "Price Discovery in the CDS Market: The Informational Role of Equity Short Interest." *Review of Accounting Studies* 21 (4): 1116-1148.
12. **J.-B. Kim**, Z. Wang, and L. Zhang (2016) "CEO Over-Confidence and Stock Price Crash Risk." *Contemporary Accounting Research* 33 (4): 1720-1749.
13. **J.-B. Kim** and L. Zhang (2016), "Accounting Conservatism and Stock Price Crash Risk: Firm-level Evidence." *Contemporary Accounting Research* 33 (1): 412-441 (Presented at the 2012 CAR Conference, Ottawa, November 2012).

14. **J.-B. Kim**, L. Li, L. Y. Li, and Y. Yu (2016) "Financial Statement Comparability and Expected Crash Risk." *Journal of Accounting and Economics* 61: 294-312.
15. **J.-B. Kim**, W. Ge, T. Li, and Y. Li (2016), "Offshore Operations and Bank Loan Contracting: Evidence from Firms that Set Up Subsidiaries in Offshore Financial Centers." *Journal of Corporate Finance* 37: 335-355.
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II. Media Exposure and Commissioned Articles

- "Internal Control Weakness and Bank Loan Contracting: Evidence from SOX Section 404 Disclosures." *The Accounting Review* 86 (4): 1157-1188. (Quoted & cited in *Wesley Bricker (SEC Chief Accountant)'s Public Speech titled "Advancing Effective Internal Control and Credible Financial Reporting March 17, 2017: <https://www.sec.gov/news/speech/bricker-remarks-annual-life-sciences-accounting-and-reporting-congress-032117>*).
- "China has made significant progress: Convergence with global standards will further enhance country's image." This commentary was published as a cover story of *China Daily-Europe* (March 16, 2012). See the web link below:
http://europe.chinadaily.com.cn/epaper/2012-03/16/content_14849191.htm
- "Information Asymmetry and Market Efficiency." *Korean Economic Daily* (January 16, 1990).
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- "Equity Valuation Model under Uncertainty." *Hanshin Investment Research* (May 1990), pp. 34-44.

IV. Books & Book Chapters

Translated the following book into the Korean language (with H.Y. Chung and I. Joo, J.-H. Lee):

- George Foster, *Financial Statement Analysis*, 2nd Ed. (Prentice-Hall, 1986), published by Mooyuk-Kyungyung Sa, Seoul, Korea (1993) (ISBN 89-468-0009-7).

Contributed the following article as a book chapter to *Corporate Governance in Emerging Markets: Theories, Practices, and Cases*, Springer (2013).

- Big 4 Conservatism around the World (with R. Chung, M. Firth, and L. Pang)

III. Selected Refereed Conference Presentations or Abstracts in Proceedings or Websites

A. Papers Presented at Conferences Organized by Premier Journals:

- **J.-B. Kim**, B. Li, and Z. Liu, "Who Benefits More from XBRL Adoption? Evidence from Breadth of Ownership." *The 2014 Journal of Accounting Research (JAR) Conference*, Chicago, (June 16-17, 2014).
- **J.-B. Kim** and L. Zhang, "Accounting Conservatism and Stock Price Crash Risk: Firm-level Evidence." *The 2012 Contemporary Accounting Research (CAR) Conference*, Ottawa (November 2012).
- **J.-B. Kim** and H. Shi, "IFRS Reporting, Firm-specific Information Flows, and Institutional Environments: International Evidence." *The 2011 Review of Accounting Studies Conference*, Milan, Italy (November 18-19, 2011).
- **J.-B. Kim**, D. A. Simunic, M. T. Stein, and C. H. Yi (2011), "Voluntary Audit and the Cost of Debt Capital for Privately Held Firms: Korean Evidence." *The 2009 CAR/JCAE Joint Conference*, Hong Kong (January 2009).
- J.-H. Choi, **J.-B. Kim**, X. Liu and D. Simunic, "Audit Pricing, Legal Liability Regimes, and Big 4 Premiums: Theory and Cross-country Evidence." *The 2006 CAR Conference*, Toronto (November 2006).

B. Papers Presented at Annual Meeting of American Accounting Association (AAA) (Past FIVE Years Only: 2016-2011):

- T.-T. Chiu, **J.-B. Kim**, and Z. Wang, "Risk Factor Disclosures on Suppliers' Investment Efficiency." *The 2016 Annual Meeting of AAA*, New York, NY (August 8-11, 2016).
- J. Chen, H. Hong, **J.-B. Kim**, and J. W. Ryou. "Information Processing Cost and Corporate Tax Avoidance." *The 2016 Annual Meeting of AAA*, New York, NY (August 8-11, 2016).

- J.-B. Kim, X. Li, Y. Luo, and K. Wang, “Institutional Distance and the Monitoring Effect of Foreign Investors.” *The 2016 Annual Meeting of AAA*, New York, NY (August 8-11, 2016).
- **J.-B. Kim**, Y. Guan, and Yanan Zhang, “XBRL Mandate and the Value of Cash Holdings: Evidence from China.” *The 2015 Annual Meeting of AAA*, Chicago, IL (August 9-12, 2015).
- W. Ge, **J.-B. Kim**, T. Li, and Y. Li, “Offshore Operation and Bank Loan Contracting: Evidence from Firms that Set Up Subsidiaries in Offshore Financial Centers.” *The 2015 Annual Meeting of AAA*, Chicago, IL (August 9-12, 2015).
- Y. Gao, **J.-B. Kim**, D. Tsang, H. Wu, “Fraud Discovery in the Credit Default Swaps Market.” *The 2015 Annual Meeting of AAA*, Chicago, IL (August 9-12, 2015).
- **J.-B. Kim**, M. Ma, and H. Wang, “Financial Development and the Cost of Capital: Evidence from China.” *The 2015 Annual Meeting of AAA*, Chicago, IL (August 9-12, 2015).
- C. Chen, **J.-B. Kim**, and L. Yao, “Income Smoothing and Stock Price Crash Risk.” *The 2014 Annual Meeting of AAA*, Atlanta, GA (August 2–6, 2015).
- Y. Gao, **J.-B. Kim**, D. Tsang and H. Wu, “Go Before the Whistle Blows: An Empirical Analysis of Director Turnover and Financial Fraud.” *The 2014 Annual Meeting of AAA*, Atlanta, GA (August 2–6, 2014).
- P.A. Griffin, H.A. Hong, **J.-B. Kim** and J.-H. Lim, “The SEC’s XBRL Mandate and Credit Risk: Evidence on a Link between Credit Default Swap Pricing and XBRL Disclosure.” *The 2014 Annual Meeting of AAA*, Atlanta, GA (August 2–6, 2014).
- **J.-B. Kim**, B. Song and T. Stratopoulos, “Does Information Technology Reputation Affect Bank Loans?” *The 2014 Annual Meeting of AAA*, Atlanta, GA (August 2–6, 2014).
- **J.-B. Kim** and K. Wang, “Labor Unemployment Risk and Sticky Cost Behavior.” *The 2014 Annual Meeting of AAA*, Atlanta, GA (August 2–6, 2014).
- G. Chen, **J.-B. Kim**, J.H. Lim, and J. Zhou, “XBRL Adoption and Bank Loan Contracting: Early Evidence.” *The 2014 Annual Meeting of AAA*, Atlanta, GA (August 2–6, 2014).
- W. Ge, **J.-B. Kim**, T. Li and Y. Li, “Operations in Offshore Financial Centers and Loan Syndicate Structure.” *The 2014 Annual Meeting of AAA*, Atlanta, GA (August 2–6, 2014).
- **J.-B. Kim**, L. Luo, and H. Xie, “Dividend Payments and Stock Price Crash Risk.” *The 2014 Annual Meeting of AAA*, Atlanta, GA (August 2–6, 2014).
- J. S. Hu and **J.-B. Kim**, “Investor Protection and the Usefulness of Cash Flow Information in CEO Turnover: International Evidence.” *The 2013 Annual Meeting of AAA*, Anaheim, CA (August 4-7, 2013).

- J.H. Choi, **J.-B. Kim**, and C. H. Sonu, “The Effect of Sarbanes-Oxley Act on Auditor Conservatism toward Newly Accepted Clients.” *The 2013 Annual Meeting of AAA*, Anaheim, CA (August 4-7, 2013).
- **J.-B. Kim**, I. Yeung, and J. Zhou, “Material Weakness in Internal Control and Stock Price Crash Risk: Evidence from SOX Section 404 Disclosures.” *The 2013 Annual Meeting of AAA*, Anaheim, CA. (August 4-7, 2013).
- **J.-B. Kim**, J. Kim, and J. H. Lim, “Does XBRL Adoption Constrain Managerial Opportunism in Financial Reporting? Evidence from Mandated U. S. Filers.” *The 2013 Annual Meeting of AAA*, Anaheim, CA (August 4-7, 2013).
- **J.-B. Kim** and T. M. Li, “Information Flows, Tax Avoidance Policy, and Firm-level Institutional Variation: International Evidence.” *The 2013 Annual Meeting of AAA*, Anaheim, CA (August 4-7, 2013).
- J.H. Choi, **J.-B. Kim**, Y.S. Zang, and Y. Zhang, “Effect of Audit Quality along the Supply Chain.” *The 2013 Annual Meeting of AAA*, Anaheim, CA. (August 4-7, 2013).
- **J.-B. Kim**, J. J. Lee, and J.C. Park, “Internal Control Weakness and the Asymmetric Behavior of Selling, General and Administrative Expenses.” *The 2012 Annual Meeting of AAA*, Washington, D. C. (August 5-8, 2012).
- J. Hu, **J.-B. Kim**, and Z. Lin, “Does Timely Loss Recognition Improve the Board’s Ability to Learn from Market Prices? Evidence from Worldwide CEO Turnover.” *The 2012 Annual Meeting of AAA*, Washington, D. C. (August 5-8, 2012).
- J. Fang, **J.-B. Kim**, H. Sami, and H. Zhou, “Restoring Trust among Investors after Shredded CPA Reputation: Evidence from China.” *The 2012 Annual Meeting of AAA*, Washington, D. C. (August 5-8, 2012) (Research Forum Paper).
- **J.-B. Kim** and T. Li, “Do Tax Avoidance and Complexity of Firms’ Legal Structure Impact Accounting Conservatism?” *The 2011 Annual Meeting of AAA*, Denver, Colorado (August 6-10, 2011).
- **J.-B. Kim**, J. Lee, and J. C. Park, “Audit Quality, Agency Costs, and Firm Value: The Case of Value of Cash Holdings and Capital Expenditures.” *The 2011 Annual Meeting of AAA*, Denver, Colorado (August 6-10, 2011).
- W. Ge, **J.-B. Kim**, and B. Y. Song, “Internal Governance, Legal Institutions, and Bank Loan Contracting around the World.” *The 2011 Annual Meeting of AAA*, Denver, Colorado (August 6-10, 2011).
- J. H. Choi, F. Kim, **J.-B. Kim**, Y. Zang, “The Association between Audit Fees and Client Firms’ Attributes of Earnings.” *The 2011 Annual Meeting of AAA*, Denver, Colorado (August 6-10, 2011).

- **J.-B. Kim**, X. Liu, and L. Zheng, “Does Mandatory IFRS Adoption Impact Audit Fees? Theory and Evidence.” *The 2011 Annual Meeting of AAA*, Denver, Colorado (August 6-10, 2011).

C. Annual Conference of Canadian Academic Accounting Association (CAAA) (Past FIVE Years Only: 2016-2011)

- Y. Gao, **J.-B. Kim**, D. Tsang, and H. Wu, “Fraud Discovery in the Credit Default Swaps Market.” *The 2015 Annual Conference of CAAA, Toronto, Canada (May 29-June 1, 2015)*.
- W. Ge, **J.-B. Kim**, **T. Li**, and Y. Li, “Offshore Operations and Bank Loan Contracting: Evidence from Firms that Set up Subsidiaries in Offshore Financial Centres. *The 2015 Annual Conference of CAAA, Toronto, Canada (May 29-June 1, 2015)*.
- G. Chen, **J.-B. Kim**, J.-H. Lim, and J. Zhou, “XBRL Adoption and Bank Loan Contracting: Early Evidence.” *The 2015 Annual Conference of CAAA, Toronto, Canada (May 29-June 1, 2015)*.
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- **J.-B. Kim** and K. Wang, “Labor Unemployment Risk and Sticky Cost Behavior.” *The 2014 Annual Conference of CAAA, Edmonton, Alberta, Canada (May 29-June 1, 2014)*.
- J. Hu and **J.-B. Kim**. “The Relative Usefulness of Cash Flows, Accrual Earnings, and Stock Returns in CEO Replacement Decisions and Investor Protection: International Evidence.” *The 2014 Annual Conference of CAAA, Edmonton, Alberta, Canada (May 29-June 1, 2014)*.
- W. Ge, **J.-B. Kim**, T. Li and Y. Li, “Operations in Offshore Financial Centers and Loan Syndicate Structure.” *The 2014 Annual Conference of CAAA, Edmonton, Alberta, Canada (May 29-June 1, 2014)*.
- **J.-B. Kim**, B. Song and T.C. Stratopoulos, “Does Information Technology Reputation Affect Bank Loan Terms?” *The 2014 Annual Conference of CAAA, Edmonton, Alberta, Canada (May 29-June 1, 2014)*.
- Y. Gao, **J.-B. Kim**, H. Wu, and D. Tsang, “Go Before the Whistle Blows: An Empirical Analysis of Director Turnover and Financial Fraud. *The 2013 Annual Conference of CAAA, Montreal, Quebec, Canada (May 31-June 2, 2013)*.
- **J.-B. Kim**, J. Lee, and J. C. Park, “Internal Control Weakness and the Asymmetric Behavior of Selling, General Administrative Costs.” *The 2013 Annual Conference of CAAA, Montreal, Quebec, Canada (May 31-June 2, 2013)*.
- **J.-B. Kim**, J. W. Kim, J.-H. Lim. “Does XBRL Adoption Constrain Managerial Opportunism in Financial Reporting? Evidence from Mandated U.S. Filers.” *The 2013 Annual Conference of CAAA, Montreal, Quebec, Canada (May 31-June 2, 2013)*.

- J. Fang, **J.-B. Kim**, H. Sami, and H. Zhou, “Restoring Trust among Investors after Shredded Reputation: Evidence from China.” *The 2012 Annual Conference of CAAA*, Charlottetown, Prince Edward Island, Canada (May 31-June 3, 2012)
- **J.-B. Kim** and T. Li, “Information Flows, Tax Avoidance Policy, and Firm-level Institutional Variation: International Evidence” *The 2012 Annual Conference of CAAA*, Charlottetown, Prince Edward Island, Canada (May 31-June 3, 2012)
- W. Ge, **J.-B. Kim**, B. Y. Song, “Internal Governance, Legal Institutions, and Bank Loan Contracting around the World.” *The 2011 Annual Conference of CAAA*, Toronto, Canada (May 26-29, 2011).
- **J.-B. Kim**, and C. B. Sohn, “Real versus Accrual-based Earnings Management and Implied Cost of Capital.” *The 2011 Annual Conference of CAAA*, Toronto, Canada (May 26-29, 2011).
- **J.-B. Kim** and T. Li, “Do Tax Avoidance and Complexity of Legal Structure Impact Accounting Conservatism?” *The 2011 Annual Conference of CAAA*, Toronto, Canada (May 26-29, 2011).

D. Selected Papers Presented at Other Conferences & Workshops in the Areas of Accounting and Finance (Past FIVE Years Only: 2016-2011)

- P. Griffin, H. Hong, **J.-B. Kim**, and J.-H. Lim, “Mandatory XBRL Adoption and Credit Default Swaps Spread?” Accepted for presentation at *The 13th Annual Conference of Asia-Pacific association of Derivatives, Busan, Korea (2017 Annual Congress of European Accounting Association (EAA), Valencia, Spain (July 10-11).*
- Y. Gao, **J.-B. Kim**, D. Tsang, Y. and H. Wu, “Information Acquisition in the Credit Default Swaps Market: Evidence from Fraud Discovery.” Accepted for presentation at *The 29th Annual Meeting of Asian Finance Association, Seoul, Korea (July 6-8).*
- **J.-B. Kim**, E. Lee, and J. Z. Zhu, “Does firm-specific stock price risk lead to a simulation or distortion of market information efficiency?” *The 2016 Annual Congress of European Accounting Association (EAA), Valencia, Spain (May 10-13).*
- J. Fang, **J.-B. Kim**, Y. Zhu, “Are Managers Punished for Crash risk? Evidence from China.” *The 2016 Annual Congress of European Accounting Association (EAA), Valencia, Spain (May 10-13).*
- C. Chen, **J.-B. Kim**, and C. Zhu, “The Impact of Debt Covenant Violations on Credit Default Swaps Spread.” *The 2016 Annual Congress of European Accounting Association (EAA), Valencia, Spain (May 10-13).*
- **J.-B. Kim**, T. Li, and L. Zhang, “Secrecy Policy, Regulation Arbitrage and Stock Price Crash Risk.” *The 2016 Annual Congress of European Accounting Association (EAA), Valencia, Spain (May 10-13).*
- L. Jiang, **J.-B. Kim**, and L. Pang, “Country Origins and Type of Institutional Investors, and Firm-specific Information Flows: Evidence from Worldwide Institutional Ownership.” *The 2013 Annual Conference of AFAANZ, Perth, Australia (July 7-9, 2013).* Also presented at *The 2013*

Pacific-Basin Finance, Economics, Accounting and Management (PBFEM) Conference, Melbourne, Australia (July 4-5, 2013).

- L. Jiang, **J.-B. Kim**, and L. Pang, “Country Origins and Type of Institutional Investors, and Firm-specific Information Flows: Evidence from Worldwide Institutional Ownership.” *The 2013 Annual Conference of AFAANZ*, Perth, Australia (July 7-9, 2013). Also presented at *The 2013 Pacific-Basin Finance, Economics, Accounting and Management (PBFEM) Conference*, Melbourne, Australia (July 4-5, 2013).
- **J.-B. Kim**, A. Lo, and R. Wong, “Are Related-Party Sales Value-Adding or Value-Destroying? Evidence from China.” *The 2013 Annual Congress of EAA*, Paris, France (May 6-8, 2013).
- J.-H. Choi, **J.-B. Kim**, Z. Wang, and Y. Zang, “Auditor Competition and Audit Quality in Local Markets.” *The 2013 Annual Congress of EAA*, Paris, France (May 6-8, 2013).
- H. A. Hong, **J.-B. Kim**, and M. Welker, “Divergence of Cash Flow and Voting Rights, Opacity, and Stock Price Crash Risk: International Evidence.” *The 2013 Annual Congress of EAA*, Paris, France (May 6-8, 2013).
- **J.-B. Kim**, J. W. Kim, and J.-H. Lim, “Does XBRL Adoption Constrain Managerial Opportunism in Financial Reporting: Evidence from Mandated U.S. Filers.” *The 2013 Annual Congress of EAA*, Paris, France (May 6-8, 2013).
- **J.-B. Kim** and T. Li, “Information Flows, Tax Avoidance Policy and Firm-level Institutional Variation: International Evidence.” *The 2013 Annual Congress of EAA*, Paris, France (May 6-8, 2013).
- **J.-B. Kim** and L. Zhang, “Does Accounting Conservatism Reduce Stock Price Crash Risk: Firm-level Evidence.” *The 2012 Annual Congress of EAA*, Ljubljana, Slovenia (May 5-8, 2012).
- **J.-B. Kim** and T. Li, “Financial Reporting of Offshore Firms: Are they Less Conservative?” *The 2011 Annual Congress of EAA*, Rome (April 20-22).
- J. Lee, **J.-B. Kim**, J. C. Park, “Audit Quality, Agency Costs, and the Value of Cash Holdings and Capital Expenditure.” *The 2011 Annual Congress of EAA*, Rome (April 20-22).

IV. Research Grants

* *As the principal investigator (P-I) or the single investigator unless explicitly mentioned as the co-investigator (Co-I)*

- Wadsworth Endowed Chair Funding of CA\$250,000 awarded for the five year period (July 2015 to June 2020) (CAD50,000 per year) by University of Waterloo.
- SSHRCC Insight Grant of CA\$50,000 (June 2016)
Awarded for the project titled " Offshore Operations, the Value of Cash Holdings and Capital Expenditure Efficiency: Evidence around the World." (as Co-I).
- GRF Grant of HK\$163,800 (August 2016)
Awarded for the project titled "Effect of Customers' Risk Factor Disclosures on their Supplier's Relationship specific Investments and Investment Efficiency", General Research Fund (GRF) (as Co-I).
- NSFC (National Science Foundation of China) Grant of RMB 620,000 (August 2014):
Awarded for the project titled "On Management Earnings Forecasts and Debt Contracting"
(as Co-I)
- TBRS (Theme Based Research Scheme) Grant of HK\$15 million (August 2014):
Awarded for the project titled "Delivering 21st Century Healthcare in Hong Kong---Building a Quality-and-Efficiency Driven System." (as a Team Member)
- GRF Grant of HK\$243,722 (July 2011-December 2013):
Awarded for the project titled "Offshore Financial Centers, Delayed Loss Recognition, and Stock Price Crashes: An International Study."
- GRF Grant of HK\$198,868 (July 2011-December 2012):
Awarded for the project titled "Off Balance Sheet Arrangement Using Special Purpose Vehicles and Stock Price Crash Risk"(as Co-I)
- GRF Grant of HK\$243,784 (July 2010-December 2012):
Awarded for the project titled "Accounting Conservatism, Internal and External Governance, and Stock Price Crash risk: Evidence from China."
- CityU New Staff Start-up Grant of HK\$500,000 (September 2009):
Awarded for the project titled "The Impact of Disclosure Regulations on Information environments: Evidence from the Sarbanes-Oxley Act of 2002."
- The Social Sciences and Humanities Research Council of Canada (SSHRCC) Grant of CA\$130,600 (Summer 2008):
Awarded for the project titled "Advertising Expenditure, Information Intermediation, and Cost of Equity and Debt Financing around the World." (as Co-I).
- JMSB's Bell Research Center for Business Process Innovations Grant of CA\$10,000 (Spring 2008):
Awarded for the project titled "Top Executive Compensation Structure, Advertising Expenditure, and Firm Valuation" (as Co-I).

- Tier 1 Canada Research Chair Grant (June 2005) of CA\$200,000 per year for the 7-year period (a total of CA\$1.4M).*
Awarded by the Canada Research Chair program of the Government of Canada for the project titled “Corporate Governance, Information Structure, Cost of Capital: A Cross-country Comparison” to support the proposed research and my appointment as Canada Research Chair in Corporate Governance and Financial Reporting at Concordia University. (*CA\$100,000 per year allocated for research stipends and other research-related expenses.)
- The Hong Kong Polytechnic University (PolyU) Central Research Grant of HK\$150,000 (2007).
Awarded for the project titled “Corporate Transparency, Legal Institutions, Cost of Equity Capital in Asian Countries.” (as the principal investigator with F. Gul as the co-investigator).
- The Research Grant Council (RGC)’s Competitive Earmarked Research Grant (CERG) of HK\$343,200 (2006):
Awarded through the Research Grant Council of the Hong Kong SAR Government for the project titled “Information Quality and Bank Loan Pricing Around the World” (as the principal investigator with J. Tsui and C.H. Yi as co-investigators).
- PolyU Postdoctoral Fellowship Grant of HK\$675,000 (2004)
Awarded for the project titled “The Efficacy of Corporate Governance Mechanisms in China: Its Impact on Earnings Management, Firm Performance and Dividend Policy.” (as the principal investigator with Steven Wang as the co-investigator with Xiaodong Xu as the post doctoral fellow). This grant allowed me to sponsor one postdoctoral fellow, Dr. Xiaodong Xu (a Ph.D. graduate from Tsinghua University) under my supervision (who is currently an Associate Professor at Shanghai Jiatong University).
- PolyU Overseas Placement for Young Professor Grant of HK\$400,000 (2003).
This grant allowed me to spend two summer semesters (2003, 2004) as a visiting scholar at The Sauder School of Business, University of British Columbia
- PolyU Area of Strategic Development Grant of HK\$350,000 (2002).
Awarded for the project titled “Corporate Governance and Disclosure Quality in Emerging Markets.” (as the principal investigator with C. H. Yi as the co-investigator).
- The Research Grant Council’s Competitive Earmarked Research Grants (CERG) of HK\$388,000 (2001):
Awarded through Research Grant Council of the Hong Kong Government for the project titled “Information Asymmetry and Properties of Earnings Forecasts in Major East Asian Countries” (as the principal investigator with R. Chung and O. Kim as co-investigators).
- PolyU HKPU Research Grant of HK\$42,000 (2000):
Awarded for the project titled “Equity Valuation and Ownership Structure.”
- PolyU Research Grant of HK\$50,000 (1999)
Awarded for the project titled “Income Smoothing, External Monitoring and Managerial Entrenchment.”
- PolyU Research Grant of HK\$150,395 (1996):

Awarded for the project titled “The Valuation Relevance of Accrual Earnings and Book Value of Equity in Major Asian-Pacific Countries.”

- PolyU Research Grant of HK\$52,100 (1996):
Awarded for the project titled “Differential Price and Volume Reactions to Accounting Disclosures and Predisclosure Information Asymmetry.”
- The City University of Hong Kong Strategic Research Grant of HK \$233,740 (1996):
Awarded for the project titled “Accounting Conservatism, the Valuation Relevance and Timeliness of Accounting Disclosures in Emerging Asian-Pacific Capital Markets: A Cross-country Comparison.” (as a co-investigator with C. Chen and J. Tsui).
- Canadian Certified General Accountants (CGA) Research Foundation Grant of CA\$10,000 (1994):
Awarded through CGA-Canada Research Committee for the project entitled “Trading Responses to Analysts' Earnings Forecasts, Transaction Size, News Types and Trader Types” (as the principal investigator with J. Lee and T. Park).
- Interdisciplinary Team Grant of CA\$3,000 (1994):
Awarded through the Research Committee of the Faculty of Commerce and Administration, Concordia University for the project entitled "Effects of Automobile Recalls on Analysts' Earnings Forecasts and Shareholders' Wealth." (as the principal investigator with R. Chung and C. K. Kim).
- Interdisciplinary Team Grant of CA\$3,000 (1993):
Awarded through the Research Committee of the Faculty of Commerce and Administration, Concordia University for the project entitled, "Effects of Layoffs on Analysts' Earnings Forecasts and Shareholders' Wealth." (as the principal investigator with T. Park).
- Deloitte & Touche Research Grant of CA\$7,000 (1993):
Awarded through the Research Committee of Canadian Academic Accounting Association for the project entitled "The Profitability of Accounting-Based Investment Strategies: Further Evidence on Market Inefficiency."
- Deloitte & Touche Research Grant of CA\$7,000 (1992):
Awarded through the Research Committee of Canadian Academic Accounting Association for the project entitled "Informational Values of Cash Flows vs. Accrual Earnings: Equity Valuation Approach."
- Deloitte & Touche Research Grant of CA\$6,852.32 (1991):
Awarded through the Research Committee of Canadian Academic Accounting Association for the project entitled "Simultaneous Effects of Informedness and Consensus on Both Price and Volume Changes around Earnings Disclosure: Empirical Evidence."
- Deloitte, Haskins & Sells Research Grant of CA\$5,000 (1990):
Awarded through the Research Committee of Canadian Academic Accounting Association for the project entitled "The Relationship between Systematic Risk and Deferred Income Taxes" (as the principal investigator with Gheyara, Kanaan and Simyar).
- Shell Canada Research Grant of CA\$8,333 (1991):

Awarded through the Ad Hoc Faculty Research Committee for the project entitled "Joint Determinants of Price and Volume Changes Around Earnings Disclosure: Empirical Tests."

- Faculty Research Development Programme (FRDP) Award of CA\$10,500 (1991):
Awarded through the FRDP Grants Committee, Concordia University for the project entitled "Towards a New Method of Accounting for Hazardous Wastes: An Empirical Investigation."
- Faculty Seed Grant of CA\$3,000 (1991):
Awarded through the Research Committee of the Faculty of Commerce and Administration, Concordia University for the project entitled "The Usefulness of Cash Flows vs. Accrual Earnings for Valuing Share Prices."
- Faculty Seed Grant of CA\$3,000 (1989):
Awarded through the Research Committee of the Faculty of Commerce and Administration, Concordia University for the project entitled "The Usefulness of Successful Effort vs. Full Costing Information: An Equity Valuation Approach."

VII. Research Workshop Presentations

Presented research papers at the faculty/Ph.D. research workshops at the following institutions:

- Beijing University (2000);
- Curtin University (2001, 2002)
- University of British Columbia (2002, 2003, and 2004)
- Korea University (2004)
- Jilin University (2005)
- Renmin University (2007, 2014)
- Shanghai University of Finance and Economics (1992, 1999, 2008)
- Xiamen University (2008)
- Concordia University (2005, 2007)
- Singapore Management University (2005)
- National Taiwan University (2009)
- Nanjing University (2011)
- University of Waterloo (2012, 2013)
- Sungkyunkwan University (2014)
- University of Technology at Sydney (2014)
- University of Macao (2013, 2015)
- Xian Jiaotong University (2014)
- Sun Yat-sen University (2011)
- Fudan University (various times)
- Seoul National University (various times)
- Sungkyunkwan University (2014)
- City University of Hong Kong (various times)
- Fudan University (various times)
- The Hong Kong Polytechnic University (various times)
- University of Waterloo (2013, 2014)

- York University (2015)
- Norwegian School of Economics (2016)

AWARDS AND OTHERS

- **Brigham Young University (BYU) Accounting Research Ranking:**

Ranked as No 2 globally in 2016 in the area of Archival Method/Financial Accounting area and Ranked as **No 8 globally** in 2016 in the area of All Methods/All Publications (Refer to the following website).

http://www.byuaccounting.net/rankings/indranks/rankings_per_ind.php?authorid=738&authorname=Kim, Jeong-Bon

Ranked as No. 3, No. 8 and No. 7 globally in 2012, 2013, and 2014, respectively, based on the number of research papers published in top 6 accounting journals (TAR, JAE, JAR, CAR, RAST, AJPT), excluding top-tier financial journals, over the recent six years (2006-2012, 2007-2013, and 2008-2014, respectively) (Refer to the following website for more detail)

http://www.byuaccounting.net/rankings/univrank/custom_rank_rpt.php

- **The Best Paper Award** for the paper “The SEC’s XBRL Mandate and Credit Risk: Evidence on a Link between Credit Default Swap Pricing and XBRL Disclosure” by 2015 Mid-Year Midyear Meeting of the AIS Section of American Accounting Association (AAA) sponsored by KPMG.
- **College Excellent Ph.D. Supervisor Award:** Awarded by College of Business, City University of Hong Kong (2015).
- **2012 Emerald Citation of Excellence Award** (August 2012): Awarded for the paper “Audit Pricing, Legal Liability Regimes, Big 4 Premiums: Theory and Cross-country Evidence” by the Emerald Management Review Editorial Judging Panel.
- **CityU College of Business Research Excellence Award** (June 2011) Awarded for the overall excellence in research publications in top-tier journals with cash prize of HK\$20,000.
- **The Best Paper Award** for the paper “Control-Ownership Wedge, Concentrated Ownership, and Investment Sensitivity to Stock Price.” *The 2009 Annual Conference of the Accounting & Finance Association of Australia and New Zealand (AFAANZ)*, Adelaide, Australia (July 2009).
- **The Best Paper Award** for the paper “International Financial Reporting Standards, Institutional Infrastructures, and Cost of Equity Capital around the World.” *The 14th Annual GFC, Melbourne, Australia, April 2007*
- **The Best Paper Award** for the paper “Cross Corporate Ownership, Information Asymmetry, and the Usefulness of Accounting Performance Measures in Japan” *The Tenth Annual Conference of Accounting Academics*, June 15-16, Hong Kong.

- **The Best Paper Award** for the paper “A Structured Financial Statement Analysis and the Direct Prediction of Stock Prices in Korea.” *The Ninth Annual Conference of Accounting Academics* HKSA, Hong Kong (June 1997).
- **The Best Paper Award** for the paper “Relations between Average Stock Returns and Fundamental Variables: Hong Kong Evidence.” *The Seventh Asian-Pacific Conference on International Accounting Issues*, Seoul, Korea (November 1995).
- Passed all seven subjects in the CPA exam in the first sitting administered by the Ministry of Finance, Korea (1978).